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registered mail

Lehman Brothers Holdings Claims Processing  
c/o Epiq Bankruptcy Solutions, LLC  
FDR Station, PO Box 5076  
New York, NY 10150-5076  
United States

Contact: Fabian Müller  
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Gümligen, June 18<sup>th</sup>, 2014

**In re: Lehman Brothers Holdings Inc.**

Dear Sir or Madam

Please find attached one notice of transfer of claims

ISIN CH0027120770 Transferee: Zuger Kantonalbank AG Transferor: UBS AG

If you have any questions, please do not hesitate to contact us. We thank you in advance for your cooperation.

Yours faithfully  
Swisscom IT Services, Banking Services

Fabian Müller  
Head of Corporate Actions Gümligen

Markus Widmer  
BPO Operations Specialist

A handwritten signature in blue ink, appearing to read "M. Müller".

A handwritten signature in blue ink, appearing to read "M. Widmer".



Notice Pursuant to Bankruptcy Rule 3001

UNITED STATES BANKRUPTCY COURT  
SOUTHERN DISTRICT OF NEW YORK



In re: Lehman Brothers Holdings Inc.

Case No.: 08-13555 (JMP)  
Court ID (Court Use Only) \_\_\_\_\_

NOTICE OF TRANSFER OF CLAIMS OTHER THAN FOR SECURITY  
PURSUANT TO BANKRUPTCY RULE 3001(E)(2)

CLAIMS HAVE BEEN FILED IN THIS CASE or deemed filed under 11 U.S.C. §1111(a). Pursuant to Bankruptcy Rule 3001(e)(2), Transferee hereby gives notice of the transfer, other than for security, of the claims referenced herein.

Name of Transferee: Zuger Kantonalbank AG	Name of Transferor: UBS AG
Notices to Transferee should be sent to: Zuger Kantonalbank AG Baarerstrasse 37 CH 6301 Zug PHONE ++41 41 709 14 76 Attn: Willy Müller EMAIL willy.mueller@zugerkb.ch	Court Record Address of the Transferor: (Court use only)
Name and Address where transferee payments should be sent (if different from above):	Name and Current Address of Transferor UBS AG Bahnhofstrasse 45 8001 Zürich, Switzerland Attn: Matthias Mohos +41 44 236 07 54 matthias.mohos@ubs.com
Amount of Claim Being Transferred: 750 Units	NOTE: THIS IS A PARTIAL TRANSFER OF CLAIM ONLY --- SEE ATTACHED EVIDENCE OF TRANSFER FOR DETAILS
Court Claim No. (if known): 59233	
Date Claim Filed: August 19 <sup>th</sup> , 2014	

I declare under penalty of perjury that the information provided in this notice is true and correct to the best of my knowledge and belief.

By: Willy Müller  
Willy Müller, authorized representative

Date: 20.09.2014

*Penalty for making a false statement: Fine of up to \$500,000 or imprisonment for up to 5 years or both.  
18 U.S.C. §§ 152 & 3571.* As set forth in the attached Evidence of Transfer of Claim, Transferor has waived to the fullest extent permitted by law any notice or right to receive notice of a hearing under Bankruptcy Rule 3001(e).

EVIDENCE OF PARTIAL TRANSFER OF CLAIM

TO: THE DEBTOR AND THE BANKRUPTCY COURT

For value received, the adequacy and sufficiency of which are hereby acknowledged, UBS AG, Bahnhofstrasse 45, CH-8001 Zurich ("Transferor") unconditionally and irrevocably transferred to Zuger Kantonalbank ("Transferee") all of its right, title, interest, claims and causes of action in and to or arising under or in connection with the portion of its claim (Claim No. 59233) in the nominal amounts specified in schedule 1 related to the securities with International Securities Identification Numbers listed in Schedule 1 hereto against Lehman Brothers Holdings, Inc. (the "Debtor"), Chapter 11 Case No.: 08-13555 (JMP) (Jointly Administered), United States Bankruptcy Court for the Southern District of New York (the "Bankruptcy Court").

Transferor hereby waives any objection to the transfer of the claim to Transferee on the books and records of the Debtor and the Bankruptcy Court and hereby waives to the fullest extent permitted by law any notice or right to a hearing as may be imposed by Rule 3001 of the Federal Rules of Bankruptcy Procedure, the Bankruptcy Code, applicable local bankruptcy rules or applicable law. Transferor acknowledges and understands, and hereby stipulates, that an order of the Bankruptcy Court may be entered without further notice to Transferor regarding the transfer of the foregoing claim and recognizing the Transferee as the sole owner and holder of the claim. Transferor further directs the Debtor, the Bankruptcy Court and all other interested parties that all further notices relating to the claim, and all payments or distributions of money or property in respect of claim, shall be delivered or made to the Transferee.

IN WITNESS WHEREOF, this EVIDENCE OF TRANSFER OF CLAIM IS EXECUTED THIS 11 day of August 2014.

UBS AG

By:

Name: Stephan Gleller

Title: Associate Director

By:

Name: Matthias Mohos

Title: Associate Director

ACKNOWLEDGED BY:

Zuger Kantonalbank AG

Transferee

By:

Name: Willy Müller

Title: Authorized representative

**SCHEDULE I**

**Lehman Programs Securities Related to Transferred Portion of Claim No. 59233:**

ISIN	Court Claim #	Date Claim Filed	Issuer	Principal Amount/Nominal Amount/ Amount of Claim related to Security
CH0027120770	59233	October 30, 2009	Lehman Brothers Treasury BV	Units 750.00 out of Units 16'861.00

22.08.14

009.80

FILED / RECEIVED

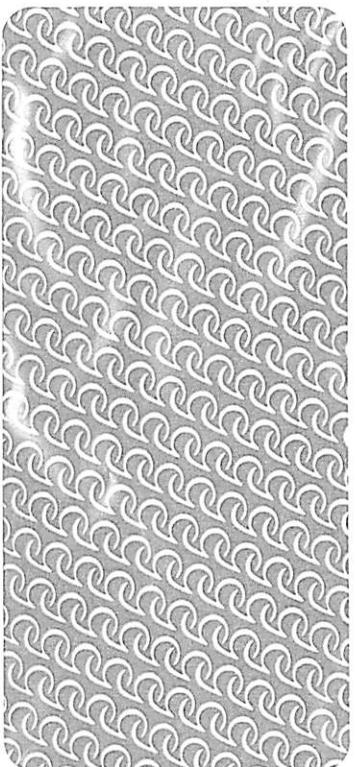
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